

**Track II Network of ASEAN Defence and Security Institutions (NADI)
Workshop on
REGIONAL MARITIME RULES OF ENGAGEMENT**

7-10 May 2014; Diamond Hotel, Manila, Philippines

*"Towards Enhancing Regional Harmony
and Promoting Rules-Based Maritime Interaction in Southeast Asia."*

Key topic:

"Regional Maritime Rules of Engagement"

Main Objectives:

1. To come up with **regional parameters to assess success and failures** of existing regional mechanisms and institutional arrangements for maritime interactions and engagements in the seas of Southeast Asia;
2. To identify a wide range of **scenarios** that raise the level of uncertainty and potentials for tension in the seas of Southeast Asia; and
3. To discuss possible **elements or principles in a future regional maritime rules of engagement** based on concrete international legal instruments, conventions, standard operating procedures, and other binding forms of agreement that may be recommended to ADMM.

Key Areas of Discussion:

1. Parameters in assessing success or failure of cooperation

This intends to be a stocktaking of standards used by ASEAN countries in determining the viability, feasibility, success, and sustainability of cooperative mechanisms that they have with each other. This can also cover best practices and experiences of each member country in the field of maritime rules of engagement.

2. Exploring possible/probable scenarios of uncertainty and tension

This aims to elicit from the participants a wide range of possible/probable incidents at sea that they are most concerned with and how their interests can be affected in the future.

3. Elements/principles in a future regional maritime rules of engagement

This explores region-wide rules, principles, or protocols of maritime interaction that are clear, specific, and actionable. The NADI workshop need not come up with a manual of regional maritime rules of engagement; the activity only aims to identify specific items, elements, or norms that can be incorporated in a future regional maritime rules of engagement. The actual responsibility of drafting a manual for regional maritime rules of engagement belongs to relevant government agencies, but the NADI can contribute to the process by outlining the specific and important items that should be included.

Annotated Agenda:

Activities	Description
<p align="center">Day 1 (May 7)</p> <p align="center">Arrival of Delegates</p> <p align="center">Check-in at Diamond Hotel (Advance Registration for the Workshop starts at 1300H)</p> <p align="center">(Optional) Ayala Museum Tour Time: 1500H Attire: Casual</p> <p align="center">Welcome cocktails Time: 1900H Attire: Smart Casual / coat or jacket without tie</p>	
<p align="center">Day 2 (May 8) Attire: Coat and tie</p>	
<p>Session I:</p> <p>Parameters/principles in assessing existing mechanisms for regional maritime cooperation and rules of engagement</p>	<p>Lead Discussants: (12 minutes for each discussant; 30 minutes of open forum after all discussants have presented)</p> <p align="center">Brunei Laos Malaysia</p> <p><i>(Lead discussants identified above are invited to present, but all participants are encouraged to address the points for discussion during the open forum.)</i></p> <p>Points for Discussion: Who are the participants (political bodies/bureaucratic agencies) in these mechanisms?</p> <p>What standards/parameters are used to assess the</p>

	<p>success/failure of a cooperative mechanism/maritime engagement?</p> <p>What specific domestic maritime policies and protocols have regional/international repercussions?</p> <p>What are the best practices and experiences in maritime rules of engagement and other similar forms of cooperation?</p>
<p>Session II:</p> <p>Exploring scenarios of uncertainty and tension</p>	<p>Lead Discussants: (12 minutes for each discussant; 30 minutes of open forum after all discussants have presented)</p> <p>Cambodia Philippines Thailand Singapore</p> <p><i>(Lead discussants identified above are invited to present, but all participants are encouraged to address the points for discussion during the open forum.)</i></p> <p>Points for Discussion:</p> <p>What are the current trends in regional maritime cooperation/issues/affairs?</p> <p>What are the 'ideal' scenarios in the region's maritime affairs/cooperation?</p> <p>What scenarios are most likely to trigger tensions between and among maritime entities/forces?</p> <p>What scenarios are the countries most concerned about? What national/regional interests are at stake?</p>
<p>Session III:</p> <p>Elements in a future regional maritime rules of engagement</p>	<p>Lead Discussants: (12 minutes for each discussant; 30 minutes of open forum after all discussants have presented)</p> <p>Indonesia Myanmar Vietnam Philippines</p> <p><i>(Lead discussants identified above are invited to present, but all participants are encouraged to address the points for discussion during the open forum.)</i></p> <p>Points for Discussion:</p> <p>What existing international norms, principles, rules, or agreements can be used as reference or starting point for a</p>

	<p>future regional maritime rules of engagement?</p> <p>What are the gaps or problems in the current state of affairs in maritime interaction in Southeast Asia? What are the incompatibilities/conflicts between countries in terms of maritime rules of engagement or protocols of interaction?</p> <p>What important norms, principles, or protocols of interaction/engagement should be adopted at the regional level? What mechanisms should be adopted at the regional level in order to harmonize conflicting maritime policies/protocols?</p> <p>What agencies/entities are involved in maritime interaction/engagement?</p>
<p>Session IV:</p> <p>Way Ahead</p>	<p><i>(All participants are encouraged to address the points for discussion.)</i></p> <p>Points for Discussion:</p> <p>How does NADI ensure that its recommendations are carried forward to Track I institutions (e.g. ADMM/ADSOM)?</p> <p>What are the usual procedures/strategies in shaping the Track I agenda in favor of NADI's recommendations/proposals?</p> <p>What subsequent activities can NADI recommend for itself and for Track I institutions in view of NADI's recommendations?</p> <p>Is there an unsettling gap between NADI and Track I institutions that need to be bridged? How does NADI ensure its continued or deeper ties with/relevance to Track I institutions?</p>
<p>Day 3 (May 9)</p> <p>Attire for the Workshop: Coat and tie</p> <p>Attire for the Cultural Tour: Casual (Please bring hand and/or umbrella, sunglasses, and sun-block lotion)</p>	
<p>Session V:</p> <p>Presentation of Draft Chairman's Report</p>	<p>Preliminary draft will be circulated prior to this session in order to incorporate in advance the comments of participants.</p>
Closing Ceremony	
Cultural Tour	Corregidor Island
<p>Day 4 (May 10) Departure of Delegates</p>	

Note to NADI participants:

1. Lead discussants in all sessions are requested to submit talking points, but a copy of their full paper will also be appreciated. NADI Philippines is asking for advance copies of talking points/paper to aid the secretariat in the early drafting of the Chairman's report. Lead discussants are also requested to provide powerpoint presentation. The presentation of each discussant should not exceed 12 minutes.
2. There will be an open forum after the presentation of all lead discussants in each session. The presiding chair (i.e., Philippines) shall administer the open forum in each session and supervise the time limit. All NADI delegates are highly encouraged to deliberate on the points of discussion as indicated in the tentative annotated agenda.